



FOR CGO USE ONLY

**What is the major issue involved?** (Check as many as applicable)

### **VIOLATION OF CORPORATE GOVERNANCE RULES (CG Rules)**

QUESTIONABLE AUDITING MATTER		VIOLATION / OFFENSE COVERED BY THE TABLE OF PENALTIES		
<input type="checkbox"/> Misappropriation of funds	<input type="checkbox"/> Misuse / abuse of Company assets	<input type="checkbox"/> Misconduct	<input type="checkbox"/> Breach of trust	<input type="checkbox"/> Analogous Cases
<input type="checkbox"/> Circumvention / Disregard of policies	<input type="checkbox"/> Circumvention / violation of approving and signing authorities	<input type="checkbox"/> Willful disobedience	<input type="checkbox"/> Commission of an offense	<input type="checkbox"/> Neglect of duty
<input type="checkbox"/> Acts / transaction grossly disadvantageous to the Company	<input type="checkbox"/> Others (Please specify)	<input type="checkbox"/> Sexual Harassment	<input type="checkbox"/> Others (Please specify)	

PRELIMINARY EVALUATION	DISCLOSURE HISTORY
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ACTION TAKEN	REMARKS
<input type="checkbox"/> For investigation	
<input type="checkbox"/> For referral to HRAD Group / other units / subsidiary	
<input type="checkbox"/> No further action to be taken; case closed	

SIGNATURE OVER PRINTED NAME

**PRIVACY NOTICE:** Any personal information processed by this form shall be used for the purpose of CG investigation and shall be kept confidential and shall not be disclosed outside MPTMSI CG without consent of data subject. You may reach the CG division directly to access, update/ modify, delete your personal information if necessary. For other data privacy concerns, email [dataprivacyoffice@mptc.com.ph](mailto:dataprivacyoffice@mptc.com.ph)